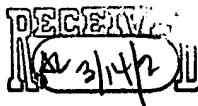


#2a
S.3ord
5/19/02

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Our Docket No.: 42390P12549

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nguyen et al.

Application No.: **10/055,572**

Filed: **October 19, 2001**

For: **CONTENT PROTECTION IN
NON-VOLATILE STORAGE
DEVICES**

Examiner: **Not Assigned**

Art Group: **2131**

PRELIMINARY AMENDMENT

BOX NON-FEE AMENDMENT
Assistant Commissioner for Patents
Washington, DC 20231-9999

Sir:

Prior to examination, please amend the above-identified application for patent as follows:

42390P12549

-1-

In re Nguyen et al.
10/055,572

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Date: March 14, 2002

Pat Sullivan
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3/14/02
Date

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (714) 557-3800

INTELLECTUAL PROPERTY LAW
3200 PARK CENTER DRIVE, SUITE 750
COSTA MESA, CA 92626

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FACSIMILE COVER SHEET

Deliver to: Not Assigned, UPSTO Art Group: 2131
 Facsimile No.: 703 746-7239 Date: March 14, 2002
 From: Julio Loza, Reg. No. 47,758
 Our Docket No.: 42390P12549 Number of pages 16 Including this sheet.
 Application No.: 10/055,572 Filing Date: 10/19/2001

Enclosed are the following documents:

<input checked="" type="checkbox"/> Amendment: <u>Preliminary</u> <input type="checkbox"/> Appeal Brief (in triplicate) (<u> </u> pgs) <input type="checkbox"/> Application: _____ (<u> </u> pgs) w/cover & abstract <input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs) <input type="checkbox"/> Certificate of _____ <input type="checkbox"/> Continued Prosecution Application (CPA) <input type="checkbox"/> Declaration & POA (<u> </u> pgs) <input type="checkbox"/> Drawings: <u> </u> sheets, <u> </u> figures <input type="checkbox"/> Extension of Time: _____ <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) <input type="checkbox"/> IDS & PTO/SB/08 (<u> </u> pgs) <input type="checkbox"/> Other _____	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for: _____ <input type="checkbox"/> Request for Continued Examination (RCE) <input type="checkbox"/> Reply Brief (<u> </u> pgs) <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) <input type="checkbox"/> Request to Rescind Previous Nonpublication Request <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter <input type="checkbox"/> Response to Written Opinion (<u> </u> pgs) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Transmittal of Publication Fee Due <input checked="" type="checkbox"/> Transmittal Letter
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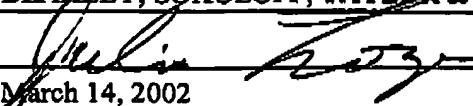
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/055,572
		Filing Date	October 19, 2001
		First Named Inventor	Tom L. Nguyen
		Group Art Unit	2131
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	16	Attorney Docket Number	42390P12549

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; min-height: 40px; margin-top: 10px;">Preliminary Amendment; Fax Transmittal Sheet</div>
Remarks <div style="border: 1px solid black; height: 40px; margin-top: 10px;"></div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Julio Loza, Reg. No. 47,758 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 14, 2002

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Typed or printed name	Pat Sullivan
Signature	
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

<i>Complete If Known</i>	
Application Number	10/055,572
Filing Date	October 19, 2001
First Named Inventor	Tom L. Nguyen
Examiner Name	Not Assigned
Group/Art Unit	2131
Attorney Docket No.	42390P12549

METHOD OF PAYMENT (check one)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account				

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid		
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	206	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	820*	112	820*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	480	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
129	1,960	228	880	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
128	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (minus number of properties)	
148	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(p))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
178	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

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ANSWER

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Julio Loza	Registration No. (Attorney/Agent)	47,758	Telephone	(714) 557-3800
Signature				Date	03/14/02

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